

Financial America Securities, Inc.

Q3 2011

NYSE Securities

	Non Directed Orders	Market Orders	Limit Orders	Other orders	Material Aspects of Relationship
Total FASI Orders	99.90%	38.80%	60.70%	.50%	
Orders routed to:					
Automated Trading Desk	63.65%	64.09%	63.86%	0.00%	NONE
Knight Capital Markets	26.61%	17.89%	32.01%	48.93%	NONE
Citadel Derivatives Grp LLC	9.27%	17.57%	3.63%	51.01%	NONE

Nasdaq Securities

	Non Directed Orders	Market Orders	Limit Orders	Other orders	Material Aspects of Relationship
Total FASI orders	99.40%	32.50%	66.90%	0.6%	
Orders Routed To:					
Knight Capital Markets	44.43%	25.07%	73.01%	48.39%	NONE
Automated Trading Desk	32.98%	53.02%	4.05%	0.00%	NONE
Citadel Derivative Group	22.35%	21.76%	22.54%	51.61%	NONE

NYSE Amex/Regional Stock Exchanges

	Non Directed Orders	Market Orders	Limit Orders	Other orders	Material Aspects of Relationship
Total FASI orders	99.40%	50.90%	47.50%	1.60%	
Orders Routed To:					
Automated Trading Desk	36.69%	35.98%	38.66%	0.00%	NONE
Knight Capital Markets	47.38%	43.31%	50.51%	84.47%	NONE
Citadel Derivatives Grp LLC	14.90%	20.39%	9.01%	15.18%	NONE

Listed Options

	Non Directed Orders	Market Orders	Limit Orders	Other orders	Material Aspects of Relationship
Total FASI orders	100.00%	19.90%	79.00%	1.10%	
Orders Routed To:					
Susquehanna Inv. Grp.	73.42%	82.74%	71.27%	93.33%	NONE
Interactive Brokers, LLC	10.85%	3.27%	12.59%	3.33%	NONE
Citigroup Global Mkts	8.21%	3.13%	9.37%	3.33%	NONE
Wolverine Execution Services	7.06%	9.55%	6.50%	0.00%	NONE